

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
**19413**

In Re Application Of: **Ben Croker, et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/559,711	December 5, 2005	Unassigned	23389	Unassigned	3843

Title: **METHODS OF IDENTIFYING COMPOUNDS WHICH MODULATE GRANULOCYTE-COLONY STIMULATING FACTOR (G-CSF) DEPENDENT PROCESSES BY MODULATION OF THE LEVELS OF SUPPRESSOR OF CYTOKINE SIGNALING (SOCS)**

COMMISSIONER FOR PATENTS:

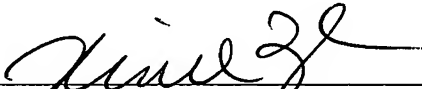
Transmitted herewith is:

**Submission of Declaration and Power of Attorney and Preliminary Amendment**  
**Copy of Notification of Missing Requirements**  
**Executed Declaration and Power of Attorney**  
**Substitute paper copy of Sequence Listing**  
**Initial CRF form of Sequence Listing on Disk**  
**Statement Under 37 C.F.R. 1.821(f) and (g)**

in the above identified application.

- ☒ No additional fee is required.  
☐ A check in the amount of \_\_\_\_\_ is attached.  
☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.  
☐ Charge the amount of \_\_\_\_\_  
☐ Credit any overpayment.  
☒ Charge any additional fee required.  
☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

  
 \_\_\_\_\_  
*Signature*

Dated: **May 15, 2006**

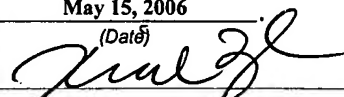
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 Registration No.56,311  
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

May 15, 2006

(Dated)


*Signature of Person Mailing Correspondence*
**Xiaochun Zhu**
*Typed or Printed Name of Person Mailing Correspondence*



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/559,711	Ben Croker	19413

SCULLY, SCOTT, MURPHY & PRESSER  
 400 GARDEN CITY PLAZA SUITE 300  
 GARDEN CITY, NY 11530

INTERNATIONAL APPLICATION NO.
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PCT/AU04/00749

I.A. FILING DATE	PRIORITY DATE
06/04/2004	06/04/2003

CONFIRMATION NO. 3843

371 FORMALITIES LETTER



\*OC000000018315416\*

Date Mailed: 03/21/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/05/2005
- Copy of the International Search Report filed on 12/05/2005
- Copy of IPE Report filed on 12/05/2005
- Preliminary Amendments filed on 12/05/2005
- U.S. Basic National Fees filed on 12/05/2005
- Priority Documents filed on 12/05/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

**For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:**

- **For Rules Interpretation, call (571) 272-0951**
- **For Patent Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.**
- **Send e-mail correspondence for Patent Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)**

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

ANITA D JOHNSON

Telephone: (703) 308-9140 EXT 226

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/559,711	PCT/AU04/00749	19413

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicants:</b>	Ben Croker, et al.	<b>Examiner:</b>	Unassigned
<b>Serial No.:</b>	10/559,711	<b>Art Unit:</b>	Unassigned
<b>Int'l Appln. No.</b>	PCT/AU04/00749		
<b>Filed:</b>	December 5, 2005	<b>Docket:</b>	19413
<b>Int'l Filing Date:</b>	June 4, 2004		
<b>For:</b>	METHODS OF IDENTIFYING COMPOUNDS WHICH MODULATE GRANULOCYTE-COLONY STIMULATING FACTOR (G-CSF) DEPENDENT PROCESSES BY MODULATION OF THE LEVELS OF SUPPRESSOR OF CYTOKINE SIGNALING (SOCS)	<b>Dated:</b>	May 15, 2006

**Confirmation No.:** 3843

Commissioner for Patents  
Alexandria, VA 22313-1450

**SUBMISSION OF DECLARATION  
AND POWER OF ATTORNEY AND PRELIMINARY AMENDMENT**

Sir:

Enclosed is a Declaration and Power of Attorney for the above application previously filed without a declaration. The requisite \$130.00 surcharge for this filing was previously paid on December 5, 2005. Applicants submit herewith a substitute paper and an initial computer readable copy of the Sequence Listing, a Statement Under 37 C.F.R. §§1.821(f) and (g) stating that these copies are identical, and a Preliminary Amendment.

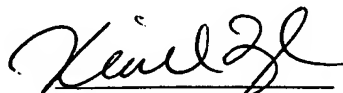
This submission is believed to be timely and in compliance with 37 C.F.R. §§1.51, 1.63. A copy of the Notification Of Missing Requirements dated March 21, 2006, is enclosed as required.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on May 15, 2006.

Dated: May 15, 2006

  
Xiaochun Zhu

**IN THE SPECIFICATION:**

Please delete the Sequence Listing of record and substitute the attached Sequence Listing therefor.

**REMARKS**

No new matter is introduced by the foregoing amendment. Applicants therefore respectfully submit that the present application is in condition for allowance.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Xiaochun Zhu', written in a cursive style.

Xiaochun Zhu

Registration No. 56,311

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